

PATENTS
CF-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg
Application No.: 09/843,093 Confirmation No.: 8423
Filed : April 26, 2001
For : METHODS AND SYSTEMS FOR ACCESSING
FINANCIAL PROSPECTUS DATA
Group Art Unit : 3624
Examiner : Richard C. Weisberger

Mail Stop Amendment
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATION

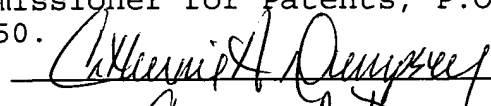
Express Mail Label No. EV 615581467 US

Date of Deposit: March 3, 2005

I hereby certify that this certification and the following papers and fees:

1. Transmittal Letter (in duplicate);
2. Reply to Office Action; and
3. Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Amendment, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Name: Catherine A. Dempsey

3-7-05

EV 3624

Rev. 12/04

PATENTS

Modified PTO 1083
For Other Than A Small Entity

Attorney Docket No. CF-17

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TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[X] a Reply to Office Action; [] a Supplemental Amendment;
[] a substitute Specification; [] a Supplemental Information Disclosure
Statement to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS AND/OR PAGES

[X] A fee for additional claims or pages is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL
TOTAL CLAIMS	-	*	= 0	x \$50	= \$.00
INDEPENDENT CLAIMS	-	**	= 0	x \$200	= \$.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$360	= \$.00

* If less than 20, insert 20.

** If less than 3, insert 3.


TOTAL \$.00

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- ☐ As a result of the amendment submitted herewith, this application now includes excess pages beyond those previously paid for. The number of additional groups of 50 excess pages resulting from this amendment is _____ x \$250 = \$ _____.
- ☐ A check in the amount of \$ _____ in payment of the fee for additional claims and/or pages is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension fee is applicable to the Response filed herewith:
☐ \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check in the amount of ☐ \$120.00 ☐ \$450.00 ☐ \$1,020.00 ☐ \$1,590.00 ☐ \$2,160.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$120.00 ☐ \$450.00 ☐ \$1,020.00 ☐ \$1,590.00 ☐ \$2,160.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.


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PATENT APPLICATION

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New York, New York 10020
March 3, 2005

Mail Stop AMENDMENT
Hon. Commissioner for Patents
P.O. BOX 1450
Arlington, VA 22313-1450

REPLY TO OFFICE ACTION

Sir:

In reply to the Office Action mailed December 16,
2004, please amend the above-identified patent application as
follows:

Amendments to the Claims are reflected in the listing
of claims which begins on page 2 of this paper.

Remarks begin on page 6 of this paper.

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